

## Draft Proposed SPMI/SMI Definition

PURPOSE: To ensure that adults with Serious and Persistent Mental Illness (SPMI), Serious Mental Illness (SMI), or who are most at risk of developing SPMI or SMI, are promptly and accurately identified.

To ensure that adults with SPMI, SMI, or those who are most at risk of developing SMI, are offered the appropriate and available array of community based behavioral health services necessary to successfully manage their illness, support their recovery process, and live meaningful lives in their community.

### DEFINITION: ADULTS WITH MENTAL ILLNESS, DISORDER OR DISEASE

- I. Must be 18 years of age or older; and
- II. Individuals with any DSM-IV-TR diagnosis, with the exception of the following exclusionary diagnoses:
  - developmental disorders (tic disorders, mental retardation, pervasive developmental disorders, learning disorders, motor skills disorders and communication disorders)
  - substance-related disorders
  - conditions or problems classified in DSM-IV-TR as “other conditions that may be a focus of clinical attention” (V codes)
  - Dementia, mental disorders associated with known or unknown physical conditions such as hallucinosis, amnesic disorder or delirium
  - sleep disorders; and
- III. Individuals with Global Assessment of Functioning Scale (GAF) ratings between 60 and 100 (lowest level of care need, tier 3).

### ADULTS WITH SERIOUS MENTAL ILLNESS

- I. Must be 18 years of age or older; and
- II. Individuals with any DSM-IV-TR diagnosis, with the exception of the following exclusionary diagnoses:
  - developmental disorders (tic disorders, mental retardation, pervasive developmental disorders, learning disorders, motor skills disorders and communication disorders)
  - substance-related disorders
  - conditions or problems classified in DSM-IV-TR as “other conditions that may be a focus of clinical attention” (V codes)
  - Dementia, mental disorders associated with known or unknown physical conditions such as hallucinosis, amnesic disorder or delirium sleep disorders and;
- III. Treatment history covers the client's lifetime treatment for the DSM IV-TR diagnoses other than those listed as “exclusionary diagnoses” specified in section II and meets one of the following criteria:
  - a. Continuous treatment of 6 months or more, or a combination of, the following treatment modalities: inpatient psychiatric treatment, partial hospitalization or six months continuous residence in a residential program (e.g., supervised residential treatment program, or supervised group home); or
  - b. Two or more admissions of any duration to inpatient psychiatric treatment, partial hospitalization or residential programming within the most recent 12 month period; or
  - c. A history of using two or more of the following services over the most recent 12 month period continuously or intermittently (this includes consideration of a

- person who received care in a correctional setting): psychotropic medication management, behavioral health counseling, CPST, crisis intervention; or
- d. Previous treatment in an outpatient service for at least six months, and a history of at least two mental health psychiatric hospitalizations; or
  - e. In the absence of treatment history, the duration of the mental disorder is expected to be present for at least 6 months.

- IV. Individuals with Global Assessment of Functioning Scale (GAF) ratings between 40 and 60 (mid-range level of care need, tier 2) ). Clinician discretion may be used in determining into which tier an individual with a GAF rating of 40-50 (either tier 1 or tier 2) should be placed.

#### ADULTS WITH SERIOUS AND PERSISTENT MENTAL ILLNESS

- I. Must be 18 years of age or older; and
- II. Individuals with any DSM-IV-TR diagnosis, with the exception of the following exclusionary diagnoses:
  - developmental disorders (tic disorders, mental retardation, pervasive developmental disorders, learning disorders, motor skills disorders and communication disorders)
  - substance-related disorders
  - conditions or problems classified in DSM-IV-TR as “other conditions that may be a focus of clinical attention” (V codes)
  - Dementia, mental disorders associated with known or unknown physical conditions such as hallucinosis, amnesic disorder or delirium and sleep disorders; and
- III. Treatment history covers the client's lifetime treatment for the DSM IV-TR diagnoses other than those listed as “exclusionary diagnoses” specified in section II and meets one of the following criteria:
  - a. Continuous treatment of 12 months or more, or a combination of, the following treatment modalities: inpatient psychiatric treatment, partial hospitalization or 12 months continuous residence in a residential program (e.g., supervised residential treatment program, or supervised group home); or
  - b. Two or more admissions of any duration to inpatient psychiatric treatment, partial hospitalization or residential programming within the most recent 12 month period; or
  - c. A history of using two or more of the following services over the most recent 12 month period continuously or intermittently (this includes consideration of a person who might have received care in a correctional setting): psychotropic medication management, behavioral health counseling, CPST, crisis intervention.
  - d. Previous treatment in an outpatient service for at least 12 months, and a history of at least two mental health psychiatric hospitalizations; or
  - e. In the absence of treatment history, the duration of the mental disorder is expected to be present for at least 12 months.
- IV. Individuals with Global Assessment of Functioning Scale (GAF) ratings of 50 or below (highest level of care need, tier 1). Clinician discretion may be used in determining into which tier an individual with a GAF rating of 40-50 (either tier 1 or tier 2) should be placed.